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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Javier A. Farinas, et al.Application No./Patent No.: 10/655,697 Filed/Issue Date: 09/05/2003Entitled: USE OF NERNSTEIN VOLTAGE SENSITIVE DYES IN MEASURING TRANSMEMBRANE VOLTAGECaliper Life Sciences, Inc., a a Delaware Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011531, Frame 0961, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/20/05  
Date  
650-623-0412  
Telephone number

Stephen E. Creager  
Typed or printed name  
Stephen E. Creager  
Signature  
Vice President and General Counsel  
Title

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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAY 04, 2001

PTAS  
LAW OFFICES OF JONATHAN ALAN QUINE  
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P.O. BOX 458  
ALAMEDA, CA 94501



\*101621587A\*

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LAW OFFICES OF  
JONATHAN ALAN QUINE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2001

REEL/FRAME: 011531/0961  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FARINAS, JAVIER ANIBAL

DOC DATE: 01/04/2001

ASSIGNOR:

WADA, H. GARRETT

DOC DATE: 01/07/2001

ASSIGNEE:

CALIPER TECHNOLOGIES CORP.  
605 FAIRCHILD DRIVE  
MOUNTAIN VIEW, CALIFORNIA  
94043-  
2234

SERIAL NUMBER: 09684313  
PATENT NUMBER:

FILING DATE: 10/06/2000  
ISSUE DATE:


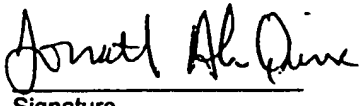
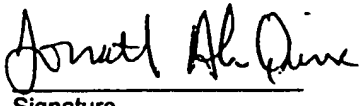
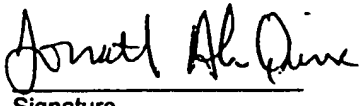
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02-27-2001

Attorney Docket No. 01-052280US

FORM PTO-1595 (Rev. 6-93)				U.S. Department of Commerce Patent and Trademark Office							
To the Honorable Asst. Commissioner for P:		101621587		Documents or copy thereof							
1. Name of conveying party(ies):  Javier Anibal Farinas 2501  Additional name(s) of conveying parties attached? Yes		2. Name and address of receiving party(ies)  Name: Caliper Technologies Corp.  Internal Address:  Street Address:  605 Fairchild Drive Mountain View, CA 94043-2234  Additional names and addresses attached? No									
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment                      Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other:  Execution Date: January 4, 2001 & January 7, 2001											
4. Application Number(s) or Patent Numbers.  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No(s): 09/684313                      B. Patent No(s): Additional numbers attached? No											
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jonathan Alan Quine, Esq. Law Offices of Jonathan Alan Quine P.O. Box 458 Alameda, CA 94501 (510) 337-7871		6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41): \$40.00  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account  X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.  8. Deposit account number: 50-0893									
DO NOT USE THIS SPACE											
9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i>  <table><tr><td>Jonathan A. Quine Name of Person Signing</td><td> Signature</td><td>January 30, 2001 Date</td></tr><tr><td>Atty Reg. No. 41,261</td><td colspan="2">Total number of pages including cover sheet, attachments and document 4</td></tr></table>						Jonathan A. Quine Name of Person Signing	 Signature	January 30, 2001 Date	Atty Reg. No. 41,261	Total number of pages including cover sheet, attachments and document 4	
Jonathan A. Quine Name of Person Signing	 Signature	January 30, 2001 Date									
Atty Reg. No. 41,261	Total number of pages including cover sheet, attachments and document 4										
10. Change Correspondence Address to that of Part 5? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No											
OMB No. 0651-0011 (exp. 4/94)											
Mail documents to be recorded with required cover to:  Do not detach this portion  Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231											

02/26/2001 6T0H11 00000139 500893 09684313

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1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

H. Garrett Wada

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

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Attorney Docket No.: 01-052230US

Client Reference No.: 100/09230

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Javier Anibal Farinas, of 75 Coronado Avenue, San Carlos, CA 94070 and H. Garrett Wada of 55 Victoria Drive, Atherton, CA 94027, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: USE OF NERNSTEIN VOLTAGE  
SENSITIVE DYES IN MEASURING  
TRANSMEMBRANE VOLTAGE

Filing Date: October 6, 2000

Application No.: 09/684,313; and

WHEREAS, Caliper Technologies Corp., a Delaware corporation, located at 605 Fairchild Drive, Mountain View, CA 94043-2234, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

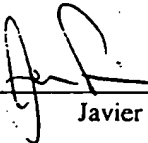
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

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Assignment  
Application No.: 09/684,513  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 1/04/01

  
Javier Anibal Farinas

Dated: 1/7/01

  
H. Garrett Wada